

16:49:26 1 Q. I think you've come to the game a little  
16:49:28 2 later.

16:49:30 3 Is there a formula by which you can  
16:49:35 4 determine the capacitance that would exist in this  
16:49:41 5 parallel plate capacitor represented in the Court's  
16:49:44 6 Claim Construction Order?

16:49:46 7 A. There is a simple formula which will  
16:49:53 8 approximate the capacitance here, yes.

16:49:55 9 Q. Would you please write it down next to  
16:49:58 10 that picture?

16:50:06 11 A. I would not be sure of what I wrote down  
16:50:10 12 for such a simple figure there.

16:50:13 13 At this point it, would be a guess.

16:50:16 14 Q. You've just testified there is a formula  
16:50:21 15 that approximates?

16:50:22 16 A. Yes.

16:50:22 17 Q. So as a formula that approximates, would  
16:50:26 18 you please write it down so the Court would be aware  
16:50:28 19 of it?

16:50:28 20 A. And I've said I would like to check my  
16:50:31 21 notes for the appropriate formula for this  
16:50:35 22 circumstance.

16:50:36 23 Q. And where are your notes?

16:50:39 24 What notes are you referring to?

16:50:41 25 A. I have some basic capacitance notes back

16:50:45 1 at my house in some capacitor handbooks.

16:50:49 2 Q. So sitting here today, you cannot tell

16:50:52 3 the Court as a purported expert in this field what

16:50:58 4 even a simple formula for approximating capacitance

16:51:02 5 would be for a parallel plate capacitor represented in

16:51:05 6 the Court's claim construction order on Page 2.

16:51:09 7 Is that right?

16:51:11 8 A. I have a simple formula to offer, yes.

16:51:14 9 Q. Would you please offer that formula in

16:51:18 10 writing for the Court?

16:51:19 11 A. May I borrow your pen?

16:51:20 12 Q. Absolutely.

16:51:21 13 A. May I write it down here?

16:51:23 14 Q. Yes, please.

16:51:38 15 A. Let's put voltage and spacing --

16:51:40 16 Okay.

16:51:41 17 Q. And would you explain the formula to the

16:51:43 18 Court, what each element means?

16:51:46 19 A. The capacitance under DC application,

16:51:54 20 i.e., where we have a direct current voltage, is in

16:52:00 21 effect given with the correct units -- and I need to

16:52:04 22 check the units so they're consistent -- is the

16:52:09 23 distance between the plates divided by the applied

16:52:14 24 voltage.

16:52:15 25 And this assumes now we have plates

16:52:21 1 which are -- which extend out to infinity. So there's  
16:52:27 2 no edge effects.

16:52:29 3 It gets considerably more complicated  
16:52:32 4 once the practical formula considering edge effects is  
16:52:36 5 used.

16:52:36 6 Q. And what would be that formula for the  
16:52:40 7 edge effects?

16:52:41 8 A. I would have to look it up. I've never  
16:52:44 9 gone through that hypothetical calculation of two  
16:52:50 10 plates.

16:52:50 11 Q. Have you seen it formulated in  
16:52:52 12 Dr. Dougherty's statement?

16:52:57 13 A. I may have.

16:52:58 14 I would have the read his testimony to  
16:53:01 15 be able to answer that with any certainty.

16:53:03 16 Q. Did you state in your declaration  
16:53:08 17 whether you've seen a formula in Dr. Dougherty's  
16:53:11 18 declaration for calculating capacitance of the fringe  
16:53:16 19 effect capacitance?

16:53:17 20 A. We're talking about edge -- edge  
16:53:20 21 effects --

16:53:20 22 Q. Edge --

16:53:20 23 A. -- not fringe effect.

16:53:23 24 Q. Edge --

16:53:23 25 Is there a difference between edge

16:53:25 1 effects and fringe effects --

16:53:25 2 A. Yes.

16:53:26 3 Q. -- as you understand it?

16:53:28 4 A. Yes.

16:53:28 5 Q. What is the fringe effect?

16:53:29 6 A. The fringe effect are where we have

16:53:33 7 single conductive plates which are edge-to-edge.

16:53:41 8 Q. Correct.

16:53:42 9 If you look at Figure --

16:53:46 10 A. As in the figure directly below that on

16:53:48 11 the same Page 2 of Exhibit 6, the figure in the middle

16:53:56 12 of the page.

16:53:56 13 Q. And if you look at Exhibit 3, which is

16:53:58 14 the 356 patent, Figure 2A, that's the same fringe

16:54:07 15 effect capacitance that we've discussed before --

16:54:08 16 A. That may exist there, yes.

16:54:10 17 Q. Between ends of contacts 12 and 13.

16:54:10 18 Right?

16:54:18 19 A. Right.

16:54:18 20 Q. Okay.

16:54:19 21 A. Theoretically, we form a fringe effect

16:54:22 22 capacitance between those two ends.

16:54:25 23 Q. Right.

16:54:25 24 Because they're in an edge-to-edge

16:54:29 25 relationship?

16:54:30 1 A. Right.

16:54:30 2 Q. And now, why did you limit your formula  
16:54:34 3 for capacitance for the parallel plate capacitor to  
16:54:38 4 the DC voltage?

16:54:43 5 A. Under AC voltages, as we've said, the  
16:54:48 6 frequency measured may well be a function -- sorry.

16:54:53 7 The capacitance measured under  
16:54:57 8 AC conditions can well be a function of the frequency  
16:55:02 9 of measurement.

16:55:06 10 Q. Could you write down that formula that  
16:55:09 11 would approximate that parallel plate capacitance?

16:55:14 12 A. Only in a generic sense.

16:55:16 13 Q. Please do, to the best of your expert  
16:55:18 14 ability sitting here today, so the Court is informed  
16:55:22 15 of that.

16:55:57 16 Could you explain that formula to the  
16:55:59 17 Court, please?

16:55:59 18 A. This is a formula stating that the  
16:56:03 19 capacitance under AC conditions is a function --  
16:56:08 20 that's the meaning of the little F -- of the frequency  
16:56:11 21 of measurement.

16:56:17 22 MR. SLONIM: Okay. I'd like to mark as  
16:56:21 23 Exhibit 7 a formula that I would like to offer you and  
16:56:28 24 ask your expert opinion about.

25

16:56:28 1 (Whereupon Exhibit 7 was marked for  
16:56:28 2 identification)

16:56:28 3 BY MR. SLONIM:

16:56:32 4 Q. I'm placing before you a -- my  
16:56:36 5 handwritten formula which says "C", for capacitance,  
16:56:43 6 "equals K times A over D."

16:56:46 7 And I believe --

16:56:48 8 Have you ever seen this formula before?

16:56:51 9 A. I have.

16:56:51 10 Q. And what do you believe this formula to  
16:56:56 11 represent?

16:56:57 12 A. This represents the capacitance whereby  
16:57:05 13 we have two opposing plates of a specific known area  
16:57:12 14 with some sort of dielectric constant between them,  
16:57:18 15 and of a specific distance between the plates.

16:57:22 16 Q. Would this formula in Exhibit 7  
16:57:33 17 approximate the parallel plate capacitance of the  
16:57:38 18 parallel plate capacitor represented in the Court's  
16:57:42 19 Claim Construction Order, Exhibit 6?

16:57:46 20 A. I understand the "K", if I assume K is  
16:57:56 21 the dielectric constant of some unstated material  
16:58:05 22 between the plates, and I assume A is the same as the  
16:58:09 23 plate area here, and I assume that where measuring  
16:58:24 24 under DC -- measure months are DC -- and I assume "D"  
16:58:35 25 is distance and that they're in the correct units --

16:58:45 1 and I'd have to go back and determine what the correct  
16:58:49 2 metric units are -- that is a form -- that would be a  
16:58:55 3 formula for capacitance in the correct unit.

16:58:59 4 Whether it's farads or microfarads, I'd  
16:59:01 5 have to go back.

16:59:02 6 Q. And what are the units for dielectric  
16:59:15 7 constance, K, in the middle of Exhibit 7?

16:59:17 8 A. I am aware of them usually being given  
16:59:22 9 by a pure number, which starts as I believe one for  
16:59:27 10 air, and usually goes up to tens of thousands, or  
16:59:32 11 less.

16:59:34 12 Q. I see.

16:59:36 13 If I told you I'm using a dielectric of,  
16:59:39 14 let's say, classical X7R, what would you expect its  
16:59:44 15 dielectric constant to be?

16:59:49 16 A. Back in the 1990s, at the time the  
16:59:51 17 patent was filed for, or presently?

16:59:55 18 Q. Back in the '90s when the patent was  
16:59:59 19 filed for.

17:00:00 20 A. Let's assume we have --

17:00:01 21 Well, a typical number to assume,  
17:00:05 22 then -- there's a range of numbers that could satisfy  
17:00:08 23 it -- it might be 2,000.

17:00:10 24 Q. And for any other, let's say, type of  
17:00:18 25 dielectric material, let's say Y5V, what would be a

17:00:23 1 typical dielectric constant for that type of material?

17:00:28 2 A. That's a commercial grade that I don't  
17:00:30 3 deal often enough to be familiar.

17:00:36 4 I deal primarily in military and high  
17:00:41 5 quality and commercial devices.

17:00:43 6 Q. So are you an expert in every type of  
17:00:49 7 design of any capacitors, or is your expertise limited  
17:00:53 8 to certain types of designs?

17:00:58 9 A. My expertise is limited to that range of  
17:01:03 10 designs which tend to be used by high quality  
17:01:12 11 commercial and the military and NASA.

17:01:20 12 Q. Do any of the ATC's capacitors, to your  
17:01:28 13 knowledge, fall within your scope of expertise?

17:01:30 14 A. Oh, yes.

17:01:32 15 I've been to two ATC facilities a number  
17:01:37 16 of times.

17:01:38 17 Q. Under what circumstances?

17:01:44 18 A. Under three sets of circumstances:

17:01:47 19 One, were they being considered as a  
17:01:54 20 supplier to a particular high reliability military  
17:02:05 21 application.

17:02:07 22 One, were they being audited to qualify  
17:02:13 23 to deliver product to military specifications.

17:02:19 24 And third, as a result of some major  
17:02:26 25 quality issues within the industry.



17:02:31 1 Q. And who else besides the ATC did the  
17:02:38 2 third circumstance, the quality issues in the  
17:02:41 3 industry, involve beyond ATC?

17:02:44 4 What other companies?

17:02:46 5 A. At various times, they have involved  
17:02:52 6 other companies. This particular time was exclusive  
17:02:55 7 to ATC.

17:02:56 8 Q. Could you name for me what were the  
17:03:02 9 other companies who had similar quality issues at  
17:03:09 10 different times than the ATC?

17:03:13 11 A. If by "similar" we just get to say  
17:03:16 12 serious military and high grade commercial quality  
17:03:20 13 issues, I would have to include AVX and Kemet and  
17:03:35 14 Novacap.

17:03:37 15 And I'd have to go back into my records  
17:03:39 16 to give you a complete list.

17:03:43 17 Phillips North American.

17:03:47 18 So we're depending upon a faulty memory  
17:03:51 19 of 30 plus years of problem solving.

17:03:54 20 Q. Were there ever these quality issues  
17:03:56 21 with any of the Presidio capacitors?

17:03:59 22 A. Not to my knowledge.

17:04:00 23 Q. Has Presidio --

17:04:03 24 Have you ever visited Presidio in  
17:04:05 25 connection with your work at the

17:04:08 1 Aerospace Corporation?

17:04:09 2 A. Yes, I have.

17:04:10 3 Q. How many times?

17:04:14 4 A. Perhaps twice.

17:04:15 5 Q. And what were the occasions for that?

17:04:18 6 A. One was being part of a government  
17:04:23 7 auditing team who audits them upon their applying to  
17:04:30 8 produce product to a particular military  
17:04:38 9 specification.

17:04:39 10 And, two, where one of our customer's  
17:04:44 11 customer's was using or wanted to use some of their  
17:04:51 12 commercial products in a space application.

17:04:56 13 Q. And could you tell me which Presidio  
17:05:01 14 products were involved in both of those occasions?

17:05:07 15 A. I know the last time was a stacked  
17:05:15 16 capacitor application.

17:05:18 17 I do not recall, since it's been a  
17:05:21 18 number of years, what the first time was.

17:05:23 19 Q. It didn't involve any other capacitors  
17:05:28 20 other than stacked capacitors, that second instance of  
17:05:31 21 your --

17:05:32 22 A. No.

17:05:32 23 Q. And the first instance of your  
17:05:36 24 government audit of Presidio --

17:05:37 25 A. I don't recall what particular type of

17:05:40 1 capacitor nor the specifications they were being  
17:05:44 2 audited for.

17:05:44 3 Q. Did Presidio pass that government audit?

17:05:47 4 A. I believe so, yes.

17:05:48 5 Q. And what about the second time for the  
17:05:51 6 space application?

17:05:53 7 A. The second time, I believe their product  
17:05:55 8 was approved for use.

17:05:58 9 Q. Okay. And let's go back to the -- your  
17:06:01 10 review of the ATC or visiting ATC for certain things.

17:06:07 11 I believe the first one you testified  
17:06:10 12 about, the first instance was about a particular high  
17:06:16 13 reliability product.

17:06:17 14 Was that an audit?

17:06:18 15 A. Yes.

17:06:19 16 Q. And what were the results of that audit?

17:06:23 17 A. I believe the audit identified -- this  
17:06:29 18 was a audit of their Long Island facility -- and the  
17:06:35 19 audit identified some corrective actions that would  
17:06:41 20 have to be made before they could be approved.

17:06:48 21 Q. And were these corrective actions taken  
17:06:53 22 by ATC, to your knowledge?

17:06:55 23 A. To my knowledge, they were taken at  
17:06:58 24 least in part.

17:07:00 25 They supplied some specialty parts, but

17:07:02 1 only some specialty parts rather than across the  
17:07:06 2 broadband for which they were being audited.

17:07:12 3 Q. So were they approved --

17:07:14 4 As a result of their remedial measures  
17:07:18 5 that were specified by the audit, at the ends, were  
17:07:21 6 they approved?

17:07:22 7 A. Partially.

17:07:23 8 Q. Not completely?

17:07:24 9 A. Not completely.

17:07:24 10 Q. And what would you say the percentage of  
17:07:27 11 the approved versus nonapproved product, or what was  
17:07:32 12 that to your knowledge?

17:07:35 13 A. That's been long ago that it would be  
17:07:40 14 purely speculation on my part.

17:07:41 15 Q. When was it, approximately, that first  
17:07:44 16 government audit of ATC that you were involved in?

17:07:48 17 A. Late '70s, 1980s.

17:07:50 18 Q. And the second audit about the quality  
17:07:58 19 of the delivered product of ATC, when was that?

17:08:05 20 A. 1990s.

17:08:09 21 Q. Any more precision that you can give?

17:08:13 22 A. Not without going back to records.

17:08:14 23 Q. Do you have --

17:08:17 24 Have you documented all these steps of  
17:08:19 25 the audit and the responses and all that?

17:08:21 1 A. Yes.

17:08:21 2 Q. And these would be the files that are at  
17:08:24 3 your house?

17:08:26 4 A. Some of them rest within the  
17:08:29 5 Aerospace Corporation for which I worked. Some of  
17:08:33 6 them rest within a government agency called DSCC.

17:08:40 7 Q. And are any of them classified as secret  
17:08:44 8 or top secret?

17:08:45 9 A. No.

17:08:45 10 Q. And the third time you had an occasion  
17:08:53 11 to go to ATC about the quality issues, when was that?

17:08:57 12 A. This was, again, in the 1990s.

17:09:03 13 Q. And what was the result of your inquiry  
17:09:07 14 into these quality issues at ATC?

17:09:16 15 A. As a result of the visit and continuing  
17:09:25 16 discussions and of a representative of ATC coming out  
17:09:29 17 to our facility to present findings once or twice, I  
17:09:36 18 believe we were satisfied that they both determined  
17:09:41 19 the time frame at which defective product -- let's  
17:09:46 20 call it questionable product -- was issued.

17:09:49 21 That they had correctly identified the  
17:09:52 22 root cause, and that they had corrected the root cause  
17:09:56 23 of the problem.

17:10:05 24 Q. Going back to our Exhibit 7 --

17:10:11 25 A. Yes.

17:10:12 1 Q. -- to the formula presented in

17:10:15 2 Exhibit 7, would you expect --

17:10:19 3 I'm sorry. Let me withdraw that  
17:10:21 4 question.

17:10:22 5 Going back to Exhibit 6, which is the  
17:10:26 6 Court's Claim Construction Order, and looking at the  
17:10:29 7 second figure on that Page 2 which represents a fringe  
17:10:35 8 effect capacitor between two metal plates in an  
17:10:39 9 edge-to-edge relationship, is there a formula to  
17:10:42 10 estimate capacitance formed between those electrodes,  
17:10:49 11 to your knowledge, sitting here today?

17:10:54 12 A. I'm not personally conversant enough  
17:10:59 13 with a formula that I could write it down.

17:11:01 14 I would expect there to be a theoretical  
17:11:05 15 formula at least for doing that, yes.

17:11:07 16 Q. And where would you find that  
17:11:15 17 theoretical formula if you were asked?

17:11:18 18 A. One, I would expect it to be in the  
17:11:24 19 technical literature.

17:11:26 20 And, two, I would expect it to be in  
17:11:28 21 some of the patents I've seen, which I'll talk about  
17:11:32 22 such individual fringe effect capacitors.

17:11:35 23 Q. And what are those patents that you've  
17:11:38 24 seen that talk about individual fringe effect  
17:11:41 25 capacitance?

17:11:42 1 A. I'd have to look on my computer.  
17:11:44 2 I Googled "fringe effect capacitors" as  
17:11:47 3 part of this work, read at least the abstracts of some  
17:11:51 4 of the patents that came up with that search, and saw  
17:11:54 5 that some of the abstracts at least mentioned  
17:11:57 6 formulas.

17:11:59 7 Q. I see.  
17:12:00 8 Did you save the results of that search?

17:12:03 9 A. I saved the portions of the abstracts  
17:12:08 10 which were printable, yes.

17:12:09 11 Q. And how are they saved?  
17:12:12 12 Are they in paper form or electronic?

17:12:15 13 A. They're in electronic form. I believe I  
17:12:17 14 copied them to a Word document.

17:12:19 15 Q. I see.

17:12:20 16 And would the abstracts that you've  
17:12:22 17 saved into Word document, did you indicate a  
17:12:25 18 particular patent number it came from or any --

17:12:28 19 A. That was part of the abstract.

17:12:29 20 Q. I see.

17:12:30 21 And what is the name of that document on  
17:12:33 22 your computer?

17:12:34 23 What's the file name?

17:12:35 24 A. I don't recall.

17:12:36 25 Q. Does it exist presently?

17:12:39 1 A. Yes.

17:12:39 2 Q. I would ask that you turn this over to  
17:12:43 3 us.

17:12:43 4 A. Great. Let that be part of the list  
17:12:45 5 you --

17:12:46 6 Q. Absolutely.

17:12:47 7 And one question.

17:12:49 8 So you've used that Google search in the  
17:12:53 9 abstracts talking about fringe effect capacitors to  
17:12:58 10 orient yourself in terms of this field?

17:12:58 11 Is that --

17:13:02 12 What was the purpose of that review?

17:13:03 13 A. To get a feel for the extent of use of  
17:13:11 14 fringe effect capacitors, and the context in which  
17:13:16 15 they were being used.

17:13:19 16 I was particularly interested in were --

17:13:24 17 A, were all of the fringe effect capacitors which were  
17:13:28 18 in the patent literature talked about as a single  
17:13:31 19 discrete element, or as part of something broader.

17:13:39 20 Q. I see.

17:13:43 21 And would it be fair to say that the  
17:13:47 22 reason why you decided to do that was because you  
17:13:50 23 haven't worked with fringe effect capacitances in the  
17:13:54 24 past?

17:13:54 25 A. I have not worked with discrete fringe



17:13:57 1 effect capacitors in the past. That is correct.

17:13:58 2 Q. I see.

17:14:01 3 You've never measured a fringe effect  
17:14:04 4 capacitance?

17:14:06 5 A. Correct.

17:14:08 6 I've not seen measured, nor have I  
17:14:11 7 measured myself, discrete fringe effect capacitors.

17:14:15 8 Q. Any other fringe effect capacitors that  
17:14:18 9 you have measured, yourself, in your regular course of  
17:14:27 10 work as a skilled artisan?

17:14:30 11 A. I'm not usually in the -- where I do  
17:14:36 12 measurements, myself. I usually ask a specialist in  
17:14:41 13 using the equipment to make measurements for me. And  
17:14:44 14 I've not had a chance to do so at the facility where I  
17:14:48 15 work for discrete fringe effect capacitors.

17:14:53 16 Q. Do you mean --

17:14:55 17 Would it be fair to say that while you  
17:14:57 18 were at the Aerospace Corporation, you've never had an  
17:14:59 19 occasion to measure any type of fringe effect  
17:15:04 20 capacitor --

17:15:05 21 A. As a discrete capacitor, yes.

17:15:08 22 Q. For any other --

17:15:10 23 As a nondiscrete capacitor, have you had  
17:15:13 24 a chance to measure that?

17:15:17 25 A. I have had a chance to request the

17:15:20 1 measurements of what I would call an array, which may  
17:15:24 2 or may not have included fringe effect capacitors.

17:15:30 3 Q. And what was the name of that project?

17:15:34 4 How would you refer to that file where  
17:15:36 5 these measurements are?

17:15:40 6 A. I don't have that file. It has been  
17:15:44 7 some time ago. The file would be down in a particular  
17:15:47 8 laboratory of Aerospace, and I'm not even sure of the  
17:15:52 9 time frame of that work.

17:15:56 10 Q. So would it be fair to say that you were  
17:15:59 11 not relying on those measurements in preparation of  
17:16:02 12 this declaration and this work?

17:16:05 13 A. It would be more than fair. It would be  
17:16:07 14 accurate.

17:16:07 15 Q. Thank you.

17:16:14 16 And have you followed the literature on  
17:16:18 17 fringe effect capacitors in the technical literature  
17:16:22 18 in the course of your work at the  
17:16:25 19 Aerospace Corporation?

17:16:34 20 A. Only in the specific context of  
17:16:44 21 microelectromechanical and nano devices, the first  
17:16:54 22 being called MEMS, all in capital,  
17:16:59 23 microelectromechanical devices, and the other is nano  
17:17:04 24 technology devices.

17:17:05 25 I got interested sometime in how

17:17:10 1 capacitors would be used in this frequency range,  
17:17:15 2 where they were shrunk so far. And they were being  
17:17:18 3 primarily used in RF applications where I came across  
17:17:22 4 specific embodiments of the devices in this very  
17:17:29 5 shrunken world.

17:17:31 6 Q. And by "very shrunken world", what are  
17:17:35 7 the sizes you're referring to?

17:17:37 8 A. MEMS tend to be in millionths of a  
17:17:45 9 meter. And nano technology seems to be in billionths  
17:17:48 10 of a meter.

17:17:49 11 Q. And you were referring to capacitors  
17:17:51 12 made on that scale?

17:17:52 13 A. Yes.

17:17:52 14 Q. And those capacitors had fringe effect  
17:17:59 15 in them, to the best of your understanding?

17:18:03 16 A. At this distant point of time, yes.

17:18:05 17 Q. And what were the frequency ranges for  
17:18:10 18 those MEMS and nano technology that you've encountered  
17:18:16 19 and considered the capacitors for?

17:18:19 20 A. I don't recall at this point in time.

17:18:21 21 Q. And what would be --

17:18:25 22 What would you need to do if I asked you  
17:18:28 23 to produce those documents about fringe effect in the  
17:18:32 24 MEMS capacitors and the nano technology capacitors?

17:18:38 25 A. I have a technical publication which I

17:18:43 1 could -- which I put out and is listed in my C.V. And  
17:18:49 2 that lists the key references I used at that time.

17:18:56 3 I expect I also have some of the key  
17:18:59 4 references, additional references in electronic  
17:19:03 5 format, that given the effort, I could re-pull out of  
17:19:09 6 my electronic library.

17:19:10 7 Q. I understand.

17:19:12 8 Have you reviewed those -- your own  
17:19:18 9 paper about MEMS -- fringe effect and MEMS and nano  
17:19:23 10 technology in preparation of this declaration?

17:19:25 11 A. No, I have not.

17:19:26 12 Q. And have you relied on what you remember  
17:19:28 13 about that in preparation of this declaration?

17:19:31 14 A. Only as a general understanding of the  
17:19:36 15 existence and use of fringe effect capacitors.  
17:19:40 16 Nothing more specific.

17:19:40 17 Q. Okay. And if you'll look at your  
17:19:47 18 declaration, which is Exhibit 2 --

17:19:49 19 A. Okay.

17:19:50 20 Q. -- on Page 2, the same list of documents  
17:19:56 21 that you've used for this declaration, I don't see  
17:20:03 22 the -- a listing for the results of the Google search  
17:20:06 23 and the Word document you've created.

17:20:09 24 Is that document --

17:20:12 25 Should that document be added to this

17:20:14 1 list to make it accurate?

17:20:15 2 A. No.

17:20:16 3 That was used not in terms of a review  
17:20:24 4 sense, but used in terms of general familiarity.

17:20:29 5 Q. What other materials have you used in  
17:20:31 6 the sense of general familiarity while you were --

17:20:38 7 A. I looked at a number of technical  
17:20:43 8 documents to see whether there were technical  
17:20:48 9 definitions for "monolithic" -- and there weren't --  
17:20:53 10 and for the documents that I reviewed.

17:20:57 11 Q. Any other definitions you've attempted  
17:21:02 12 to locate?

17:21:04 13 Let's say -- Let's look at the Claim  
17:21:06 14 Construction Order on Page 16, which is Exhibit 6.

17:21:10 15 A. Exhibit 6, Page 16.

17:21:12 16 Q. That's the --

17:21:12 17 A. Thank you.

17:21:14 18 Q. You're welcome.

17:21:30 19 A. No.

17:21:31 20 Q. And what were the technical documents in  
17:21:33 21 which you tried to locate the definition of  
17:21:38 22 monolithic?

17:21:38 23 A. There were a number of properties  
17:21:43 24 describing the mechanical behavior of multi-layer  
17:21:48 25 ceramic capacitors. And I was interested to see

17:21:51 1 whether they talked about monolithic, and if so, in  
17:21:56 2 what context.

17:21:57 3 Q. I see.

17:21:58 4 I believe you said there were a number  
17:22:00 5 of properties.

17:22:02 6 Are you referring --

17:22:03 7 There were a number of papers or  
17:22:04 8 articles?

17:22:05 9 A. Yes.

17:22:05 10 Q. And who was the --

17:22:08 11 Who were the authors of those articles?

17:22:11 12 A. A wide variety of both U.S. and European  
17:22:15 13 authors.

17:22:16 14 Q. Do you have a list of those publications  
17:22:19 15 that you've reviewed for that purpose?

17:22:22 16 A. In my technical library, I have two or  
17:22:26 17 three folders full of the papers.

17:22:27 18 Q. Have you reviewed all those two or three  
17:22:30 19 folders?

17:22:31 20 A. I went through and picked out those I  
17:22:35 21 thought would be most fruitful. And while I did not  
17:22:39 22 review them, I looked to see if they used the word  
17:22:43 23 "monolithic." And if so, whether they gave any  
17:22:47 24 definition related to monolithic.

17:22:49 25 Q. I see.

17:22:50 1 Could you please give me a -- to the  
17:22:53 2 best of your recollection sitting here today, of  
17:22:57 3 the -- either the titles of the papers or the names of  
17:23:00 4 the authors that you've reviewed for the purpose to  
17:23:03 5 find their use of the word "monolithic"?

17:23:07 6 A. The one author I recall as appearing  
17:23:12 7 most frequently was Jim Bergenthal of Kemet  
17:23:19 8 Corporation. And I believe that's B -- Bergen --  
17:23:26 9 B-e-r-g-e-n-t-h-a-l.

17:23:32 10 But that's purely --

17:23:35 11 Q. Anybody else?

17:23:36 12 A. -- off the top whom I recall.

17:23:37 13 No.

17:23:39 14 MR. SLONIM: Okay. Let's change the tape.

17:23:41 15 THE VIDEOGRAPHER: This marks the end of tape  
17:23:42 16 Number 3 in the deposition of Gary Ewell.

17:23:46 17 Going off the record.

17:23:46 18 The time is 17:23 hours.

17:23:50 19 (Whereupon a recess was taken)

17:34:41 20 THE VIDEOGRAPHER: Back on the record.

17:34:48 21 Here marks the beginning of tape

17:34:50 22 Number 4 in the deposition of Gary Ewell.

17:34:53 23 The time is 17:34 hours.

17:34:55 24 BY MR. SLONIM:

17:34:57 25 Q. Dr. Ewell, what does the term "one" mean

17:35:00 1 to you?

17:35:01 2 A. That's "one" spelled o-n-e?

17:35:05 3 Q. Correct.

17:35:10 4 A. I don't see it as a particularly  
17:35:13 5 technical term, but certainly, it means a single  
17:35:16 6 individual item.

17:35:20 7 If it were used as an adjective as in  
17:35:23 8 one shoe, one horse, one capacitor.

17:35:25 9 Q. Okay. What does the term "first" mean  
17:35:32 10 to you?

17:35:40 11 A. I see the term "first" as relating to  
17:35:46 12 ordering of events, whether it's a time ordered or a  
17:35:52 13 geometric ordering, as opposed to second, third or  
17:36:00 14 fourth.

17:36:00 15 Q. Does "one" mean the same thing as  
17:36:03 16 "first"?

17:36:08 17 A. Not necessarily. If I have one shoe,  
17:36:14 18 it's not the first shoe. I have one shoe.

17:36:20 19 Q. And what would you consider to be the  
17:36:21 20 first shoe?

17:36:27 21 A. I got up this morning. I put on two  
17:36:31 22 shoes. And one of them was the first, and one of them  
17:36:34 23 was the second.

17:36:35 24 Q. Can they be used interchangeably, "one"  
17:36:42 25 and "first"?



17:36:46 1 A. There may be circumstances where they  
17:36:48 2 could --

17:36:52 3 Q. Are you aware?

17:36:54 4 A. -- in a philosophical sense.

17:36:57 5 Q. Are you aware of any such circumstances  
17:36:59 6 sitting here today as a deponent expert witness?

17:37:06 7 A. Nothing comes to mind without some sort  
17:37:09 8 of context.

17:37:11 9 Q. Did you opine in your declaration on the  
17:37:16 10 meaning of the word "first"?

17:37:19 11 MR. SCHATZ: I'm going to object to the extent  
17:37:21 12 it requires refreshing recollection through review of  
17:37:27 13 the declaration.

17:37:27 14 BY MR. SLONIM:

17:37:28 15 Q. You may answer.

17:37:29 16 MR. SCHATZ: In other words, I'm counseling  
17:37:31 17 the witness not to speculate.

17:37:31 18 BY MR. SLONIM:

17:37:32 19 Q. You may answer.

17:37:35 20 A. In the context of the 356 patent and my  
17:37:39 21 declaration, I'd certainly have to read what I'd said  
17:37:44 22 to remind me of the context of "first" and "second".  
17:37:50 23 use and "one" and "two" --

17:37:53 24 Q. So is it your testimony --

17:37:57 25 How long ago did you prepare your

17:38:00 1 declaration?

17:38:01 2 A. I'd have to look at the date I signed it

17:38:03 3 and subtract it from today's date to get there in

17:38:08 4 terms of exact number of days or hours.

17:38:10 5 Q. I would represent to you that you've

17:38:20 6 signed the declaration on July 11th.

17:38:22 7 A. July 11th.

17:38:23 8 Q. And today is August 1st.

17:38:26 9 How many days is that between those two

17:38:29 10 events, please?

17:38:30 11 MR. SCHATZ: That's ridiculous. That is a --

17:38:34 12 That question is designed to harass.

17:38:37 13 If you want to count the days between

17:38:41 14 August 1st and July 11, then you can do that,

17:38:44 15 yourself.

17:38:44 16 MR. SLONIM: Off the record, please.

17:38:45 17 MR. SCHATZ: No, we're on the record.

17:38:47 18 And this witness is not here to testify

17:38:50 19 as to the number of days between today's date and when

17:38:54 20 his declaration was signed.

17:38:58 21 That's a frivolous question, and it's

17:39:01 22 clearly designed just to harass and delay these

17:39:05 23 proceedings.

17:39:07 24 MR. SLONIM: I completely disagree. I think

17:39:09 25 Mr. Schatz's comments are very inappropriate in this

17:39:14 1 context.

17:39:18 2 Q. Dr. Ewell, without refreshing your  
17:39:22 3 recollection, what do you remember that you've -- what  
17:39:25 4 opinions did you express in your declaration submitted  
17:39:29 5 to the Court on July 11th about the meaning of the  
17:39:33 6 word "first" and the meaning of the word "one"?

17:39:38 7 MR. SCHATZ: And I'll object and counsel the  
17:39:40 8 witness not to speculate if it requires review of the  
17:39:43 9 declaration.

17:39:43 10 BY MR. SLONIM:

17:39:45 11 Q. You may answer.

17:39:49 12 A. My only recollection, without further  
17:39:52 13 review of declaration, has to do with the relative use  
17:39:57 14 of the words "first" and "second".

17:40:00 15 I do not recall counterposing or  
17:40:04 16 contrasting "first" and "one".

17:40:08 17 But upon review of the declaration, I  
17:40:11 18 could well be refreshed in that regard.

17:40:16 19 Q. But sitting here now --

17:40:19 20 A. Yes?

17:40:20 21 Q. -- do you have an opinion about the use  
17:40:24 22 in the context of the 356 patent about the meaning of  
17:40:28 23 a first fringe effect capacitance in connection with  
17:40:34 24 which element you've expressed your opinion about the  
17:40:37 25 meaning of the word "first"?

17:40:40 1 Do you have that recollection?

17:40:43 2 A. In the context of the 356 patent and the  
17:40:50 3 words "first" and "second" as describing fringe effect  
17:40:56 4 capacitors, yes, I do.

17:40:58 5 Q. And what was that --

17:41:00 6 What is your opinion on that subject?

17:41:04 7 A. As one of ordinary skill in the art, I  
17:41:10 8 believed it made sense to me to consider first and  
17:41:15 9 second fringe effect capacitors as related to some  
17:41:19 10 sort of progression of geometric ordering or  
17:41:24 11 designation of those capacitors as elements within a  
17:41:28 12 larger array.

17:41:32 13 Q. And could you elaborate about what is  
17:41:35 14 the progression of geometric ordering?

17:41:38 15 And if you need to review any of the  
17:41:40 16 figures in the patent and do that in reference to  
17:41:44 17 that, please feel free to do so.

17:41:47 18 And I think the patent is Exhibit 3, the  
17:41:52 19 356 patent.

17:43:55 20 A. Can you repeat your question again now  
17:43:58 21 with respect to the words "first" and "second" as  
17:44:01 22 applied to fringe effect capacitors?

17:44:08 23 Q. Yes.

17:44:09 24 But after you would tell me what you  
17:44:11 25 were doing in response to my question before.

17:44:17 1 What parts of the patent were you  
17:44:19 2 reviewing?

17:44:20 3 A. I reviewed Claims 1, 2 and 3.

17:44:32 4 Q. Okay.

17:44:34 5 A. And I particularly looked at I believe  
17:44:43 6 it was Figure -- must be Figure 12.

17:44:56 7 I particularly looked at Figure 12A, is  
17:45:04 8 there a patent 356.

17:45:06 9 Q. And in connection with Figure 12A, what  
17:45:11 10 were you considering?

17:45:15 11 A. I was looking at the bottom -- the lower  
17:45:24 12 end, the bottom end of the device.

17:45:30 13 I was looking at the exterior surface.

17:45:35 14 I was furthermore looking at the dark  
17:45:40 15 lines on the exterior surface by which I took to be  
17:45:45 16 electrically conductive material.

17:45:50 17 And I was focusing upon the spacing  
17:45:55 18 between the ends of that electrically conductive  
17:45:58 19 material on the bottom surface there.

17:46:04 20 Q. And would I be correct to understand you  
17:46:07 21 to say that you were looking at the electrically  
17:46:11 22 conductive plates labeled 141, 143 and 142 in  
17:46:19 23 Figure 12A of the 356 patent?

17:46:22 24 A. That was part of my consideration of  
17:46:26 25 12A, yes.

17:46:27 1 Q. And what is -- What spacing were you --  
17:46:34 2 Were you looking at the spacing between  
17:46:36 3 plate 141 and 143?

17:46:39 4 A. And 143 and 142.

17:46:43 5 Q. And what do you understand those  
17:46:44 6 spacings to be?

17:46:47 7 A. I understand those spacings to be  
17:46:52 8 surfaces of the dielectric which are free from  
17:46:56 9 electrically conductive material.

17:47:01 10 They're not covered by a black line,  
17:47:04 11 which I assume means they're not covered by  
17:47:07 12 electrically conductive material at that location.

17:47:09 13 Q. Would you call those distances between  
17:47:13 14 141 and 143 a gap?

17:47:16 15 Is that a common term in your field?

17:47:19 16 A. It's a gap between the ends of the  
17:47:23 17 conductive material, yes.

17:47:25 18 Q. With that clarification, I agree.

17:47:28 19 And what do you understand that gap  
17:47:31 20 distance to be from Figure 12A?

17:47:35 21 A. It provides no measurement.

17:47:41 22 Q. Could you estimate based on Figure 12A  
17:47:45 23 what that gap is?

17:47:52 24 A. 12A has no dimensions associated with  
17:47:56 25 it. It's purely a schematic.

17:47:59 1 So I cannot estimate in terms of  
17:48:01 2 fractions of an inch or specific measurements.

17:48:05 3 Q. Could you estimate based on comparison  
17:48:08 4 between the gap between plates 141 and 143 and the gap  
17:48:19 5 between contacts 12 and 13 on the bottom of the  
17:48:25 6 capacitor of Figure 12A?

17:48:30 7 A. No.

17:48:30 8 Q. Why not?

17:48:36 9 A. The overall -- Among other things, the  
17:48:41 10 overall scale of the capacitor represented by this  
17:48:46 11 schematic is not given. I have no knowledge of  
17:48:49 12 knowing whether it's one inch from edge-to-edge or a  
17:48:55 13 tenth of an inch.

17:48:58 14 Q. Let's say I told you this capacitor was  
17:49:02 15 produced in 0603 capacitor packaging.

17:49:09 16 With that information, would you be able  
17:49:11 17 to compare these gaps?

17:49:15 18 A. I'd be able to speculate on what the  
17:49:20 19 dimensions of the gaps are.

17:49:22 20 But this is meant -- this is a  
17:49:25 21 schematic. And I believe detailed dimensions off of a  
17:49:30 22 schematic are not accurate.

17:49:33 23 If it were a cross section now, you  
17:49:37 24 know, I'd blow it up to 500x and measure it precisely.

17:49:49 25 Q. Assuming that this was to 500x, would

17:49:53 1 you be able to measure it precisely, assuming this is  
17:49:57 2 the -- Figure 12A is a cross section to 500x?

17:50:01 3 MR. SCHATZ: Objection. Calls for  
17:50:03 4 speculation.

17:50:07 5 You're asking the witness to speculate  
17:50:09 6 what Figure 12A is, what it's not.

17:50:15 7 It calls for speculation.

17:50:15 8 BY MR. SLONIM:

17:50:16 9 Q. You may answer in your expert opinion.

17:50:19 10 Would you be able to offer that expert  
17:50:21 11 opinion sitting here today with your great length of I  
17:50:27 12 think stated service for Aerospace Corporation as well  
17:50:30 13 as others?

17:50:33 14 A. That if I knew that --

17:50:38 15 If this were a microstructure at a  
17:50:44 16 specific known magnification and it were accurate, and  
17:50:51 17 I had a calibrated measuring rule, that I could guess  
17:51:00 18 at this particular point of cross section what the gap  
17:51:05 19 was.

17:51:08 20 Now, that -- I also know that as I were  
17:51:12 21 to grind further through the cross section, that gap  
17:51:16 22 distance may vary.

17:51:21 23 Q. So is that your testimony, that based on  
17:51:25 24 the appearance of Figure 12A, it cannot be estimated  
17:51:36 25 and offered to the Court?



17:51:37 1 Any relationships between gaps or  
17:51:42 2 comparisons or areas would be inappropriate because  
17:51:46 3 they would not be accurate.

17:51:47 4 Is that your testimony?

17:51:51 5 A. That use of this figure in total to try  
17:51:56 6 to make measurements from would be inherently  
17:52:01 7 inaccurate. It's not a real product. Unlike real  
17:52:09 8 product to be able to measure in order to determine  
17:52:14 9 properties from them.

17:52:17 10 Q. And so if I told you that this is a  
17:52:22 11 representation of the microstructure at 500x, would  
17:52:28 12 you then be able based on that understanding that  
17:52:31 13 that's what Figure 12A is, measure and estimate the  
17:52:40 14 relationship between gap -- of between plates 141 and  
17:52:48 15 143, and the gap between 12 and 13 on the bottom, and  
17:52:52 16 compare them in terms of mils?

17:52:55 17 Is that the standard measurement for  
17:52:58 18 gaps?

17:52:58 19 A. Yes.

17:52:58 20 Q. Okay.

17:52:59 21 A. Yes.

17:52:59 22 Q. Would you --

17:53:02 23 A. If this were an accurate microstructure  
17:53:07 24 and I knew the magnification and I had an accurate  
17:53:11 25 measuring tool in the frame there, I could measure

17:53:15 1 those gaps and provide dimensions.

17:53:18 2 Q. But just based on the appearance of

17:53:24 3 Figure 12A as a cross section represented here in the

17:53:30 4 patent, is that your testimony, that the appearance of

17:53:35 5 these gaps is inaccurate and unreliable?

17:53:40 6 A. No. It's schematic and an educational

17:53:46 7 tool that goes along with 12B, which is a -- an

17:53:52 8 electrical representation of 12A in terms of its array

17:53:58 9 of elements.

17:54:03 10 And that with my experiences, one of

17:54:10 11 ordinary skill in the art, that given this, I could

17:54:18 12 design or have designed a capacitor meeting 12B, and

17:54:26 13 on that capacitor design to meet that, I could measure

17:54:32 14 those exterior gaps.

17:54:35 15 I could not measure the interior gaps

17:54:40 16 well below the gaps within the body that are below

17:54:50 17 what we've called 141, 143 and 142.

17:54:54 18 Q. And why is that?

17:54:56 19 A. Because they are not as clearly evident.

17:55:06 20 The very process of polishing and

17:55:12 21 grinding flat surface serves to -- you can fragment

17:55:19 22 and break out things that -- so you have an appearance

17:55:23 23 which isn't -- which may not be strictly accurate.

17:55:27 24 I'd much prefer to measure the finished

17:55:29 25 product and its properties.

17:55:32 1 Q. Are you saying that when you're grinding  
17:55:34 2 and trying to create these cross sections, you can  
17:55:37 3 introduce additional defects or fractures into --

17:55:43 4 A. Artifacts.

17:55:44 5 Q. Artifacts?

17:55:46 6 A. Artifacts, we call them, yes.

17:55:47 7 Q. Which were not present in the capacitor  
17:55:49 8 before you started grinding and testing and just  
17:55:51 9 looking at it and --

17:55:52 10 A. That is correct.

17:55:54 11 There is an expertise to doing that.

17:55:59 12 There's a certain suspicion you need to have,  
17:56:02 13 particularly when apparent defects are located on the  
17:56:06 14 extreme surfaces.

17:56:10 15 Q. And what is that expertise called?

17:56:17 16 A. Experience in polishing and grinding of  
17:56:20 17 a variety of ways and of looking at what appears to be  
17:56:25 18 defects to see whether they're recent or whether they  
17:56:28 19 were present in the particular part of concern.

17:56:32 20 Q. How would you be able to distinguish  
17:56:34 21 between recent and old defects?

17:56:40 22 A. A couple of ways.

17:56:41 23 Should I further explain it?

17:56:44 24 Q. Yes, please.

17:56:46 25 A. One, these parts are often encapsulated

17:56:51 1 in a protective material to be there.

17:56:56 2 If for instance there are cracks at the

17:56:58 3 time of encapsulation and the encapsulation is --

17:57:04 4 material has a low viscosity such that it flows easy,

17:57:09 5 it will flow into the cracks.

17:57:11 6 And when you cross section, you'll see a

17:57:14 7 crack with some of that material in it. Clear sign it

17:57:17 8 was present before you began to ground.

17:57:25 9 If you have a near surface void or

17:57:29 10 cavity that was there when the part was fired and

17:57:36 11 formed, you see a surface topography inside there

17:57:42 12 which is particular. It shows some rounding of

17:57:48 13 nodules. And this relates to what was formed during

17:57:50 14 the sintering process.

17:57:53 15 If it broke out when you were polishing

17:57:55 16 it, you'll see jagged edges and particular hard

17:57:59 17 fracture surfaces.

17:58:03 18 Those are two examples of how you might

17:58:05 19 pick up the difference between defects before and

17:58:08 20 after.

17:58:11 21 Q. And would you expect to see those types

17:58:15 22 of clearly distinguishable defects on a photograph

17:58:21 23 under the microscope after that grinding and polishing

17:58:24 24 was done?

17:58:27 25 A. It's a clue as to the quality of that

17:58:29 1 effort.

17:58:32 2 If I see those and they indicate to me  
17:58:38 3 that quite possibly artifacts were introduced during  
17:58:42 4 the polishing and grinding process, I will inquire  
17:58:46 5 more on the details of sample preparation.

17:58:54 6 Q. And that inspection that you would do  
17:58:58 7 would be visual?

17:59:00 8 A. Visual and under what we called before  
17:59:03 9 the scanning electron microscope.

17:59:07 10 Q. To magnify the picture?

17:59:09 11 A. It magnifies it and it gives it much  
17:59:12 12 greater depth of field.

17:59:15 13 Q. And then once that is done by the  
17:59:17 14 microscope, your inspection is visual?

17:59:20 15 A. Yes. You look on the screen of the  
17:59:23 16 microscope visually.

17:59:25 17 Q. I see.

17:59:28 18 And would two different people who are  
17:59:30 19 reviewing the same sample, could they disagree about  
17:59:33 20 the origins of different defects and voids?

17:59:39 21 A. There are some which are a rough call on  
17:59:44 22 a professional basis, some which are obvious and have  
17:59:47 23 been seen before and are well known to be artifacts.

17:59:53 24 Q. And what is a small void?

18:00:01 25 A. "Small" is a relative term. It's

18:00:05 1 usually relative to the thickness, the minimum

18:00:12 2 thickness of the dielectric layer.

18:00:17 3 If I have a 1 milli-inch, mill-thick

18:00:22 4 dielectric layer, small would generally be less than

18:00:25 5 half a mil.

18:00:28 6 Q. What would be a minor void?

18:00:32 7 A. Again, with respect to 1 milli-inch

18:00:36 8 thick dielectric, a minor void would be something like

18:00:40 9 10th of a mil.

18:00:41 10 Q. And in general, what would be a minor

18:00:43 11 void, not with reference to the one mil dielectric?

18:00:50 12 A. It's always with reference to what we

18:00:52 13 call the active thickness, which is the thickness

18:00:59 14 between internally conductive plates of opposite

18:01:04 15 polarity.

18:01:05 16 Q. What's the difference between a void and

18:01:08 17 gap?

18:01:13 18 A. A void tends to be circular in cross

18:01:19 19 section.

18:01:22 20 A gap can be much more irregular and can

18:01:27 21 occur along -- it's a more two-dimensional effect

18:01:33 22 where it may look something like this where you've not

18:01:37 23 had complete sintering across a seam.

18:01:45 24 Q. So that would be a gap?

18:01:48 25 A. That would be a gap.

18:01:49 1 Q. Would you also call it a delamination?

18:01:56 2 A. It could have been formed by

18:02:00 3 delamination, yes. That's one of the processes for

18:02:04 4 forming gaps.

18:02:08 5 Q. Is delamination as an object different

18:02:15 6 from a gap?

18:02:18 7 Not as a process, but as an object.

18:02:21 8 If the word "delamination" can refer to

18:02:24 9 an object as opposed to a process.

18:02:27 10 A. Yes.

18:02:39 11 Again, they shade into each other.

18:02:42 12 A delamination tends to be where there's

18:02:46 13 a very fine separation, and it's very long in

18:02:51 14 dimension.

18:02:53 15 Q. And what is a fine separation?

18:02:55 16 A. A fine separation can be, for instance,

18:03:00 17 one one-hundredth of a milli-inch.

18:03:03 18 Q. Can it be higher?

18:03:05 19 A. It's again a relative term. And it's

18:03:11 20 used primarily with respect to --

18:03:14 21 A delamination has a spacing or a

18:03:19 22 separation which is fairly constant, and very long in

18:03:24 23 extent.

18:03:27 24 Q. And what is a very long in extent?

18:03:33 25 A. It can be -- and you're talking about

18:03:37 1 terms now which are not precisely defined -- but it  
18:03:43 2 could -- if I had one one-hundredth of a milli-inch,  
18:03:48 3 it could extend for 40 milli-inches. So that's quite  
18:03:53 4 a ratio of gap to extend.

18:04:00 5 And the gap on a delamination is fairly  
18:04:04 6 constant.

18:04:04 7 Q. What's that ratio called?

18:04:10 8 A. I've not seen it called something. I've  
18:04:14 9 not seen it given a name by itself.

18:04:16 10 Q. Could different people use different  
18:04:19 11 ratios?

18:04:25 12 A. As to the extent of a typical  
18:04:28 13 delamination, yes, it would depend upon their  
18:04:32 14 experience.

18:04:33 15 Q. Would there be a difference between two  
18:04:36 16 people of ordinary skill in the art?

18:04:39 17 Could they disagree about whether a  
18:04:41 18 certain defect is a delamination or not based on the  
18:04:49 19 fine separation and the extent of the length and the  
18:04:52 20 other parameters that are relative terms?

18:04:57 21 A. I believe 90 percent of the time, they  
18:05:00 22 would agree.

18:05:02 23 There may be some extreme cases where  
18:05:05 24 there would be disagreement.

18:05:07 25 Q. And what do you base your belief about



18:05:10 1 the 90 percent of agreement on?

18:05:14 2 A. By the fact that I have been in

18:05:20 3 discussions a lot of time with manufacturers about

18:05:24 4 whether their parts contained delaminations or not.

18:05:30 5 And we would bring in pictures,

18:05:33 6 microsections. And usually there their technical

18:05:38 7 people would say, yes, that's a delamination. We've

18:05:41 8 seen it before. We know how to solve it. We were all

18:05:44 9 talking the same terminology.

18:05:48 10 Q. Could you imagine that those technical

18:05:51 11 people felt a little pressure to agree with a

18:05:56 12 government inspector such as yourself on the

18:05:58 13 terminology?

18:05:59 14 A. Lots of times, we were in technical

18:06:02 15 meetings where our roles as government inspector and

18:06:06 16 representative of a manufacturer were set to one

18:06:13 17 aside, and we were technical people trying to arrive

18:06:18 18 at technical agreement for the good of the industry.

18:06:25 19 Q. But that did not change the fact that

18:06:29 20 you wore your government inspector hat at certain

18:06:34 21 times or had that badge in your pocket.

18:06:39 22 Would that --

18:06:41 23 A. Over the last few decades, a major

18:06:46 24 company's government business would be three percent

18:06:54 25 of its commercial business.

18:06:58 1 So the government -- the government's  
18:07:02 2 amount of business held very little sway compared to  
18:07:05 3 their overall business.

18:07:17 4 They made, unfortunately, many more  
18:07:21 5 iPhones and iPods than satellites.

18:07:24 6 Q. If we can go back to Figure 12A and  
18:07:28 7 Figure 12B in the Exhibit 3, the 356 patent.

18:07:32 8 A. Okay. Let me take a sip first, please.

18:07:35 9 Q. Absolutely. I will do the same.

18:07:45 10 A. And, again, 12A and 12B were being seen  
18:07:49 11 in conjunction with the reading of Claims 1, 2 and 3.

18:07:55 12 Q. That's fine.

18:07:56 13 If you could look at Figure 12 B, on the  
18:08:01 14 very bottom of it, I see a line labeled 141, and then  
18:08:08 15 I believe there's a schematic representation of a  
18:08:11 16 capacitor that's labeled 144. And then it's -- the  
18:08:18 17 capacitor 144 is formed between plates 141 and plate  
18:08:24 18 143.

18:08:25 19 A. Yes.

18:08:25 20 Q. Is that your understanding of what that  
18:08:27 21 represents?

18:08:28 22 A. Yes, that is.

18:08:29 23 Q. And then there is a capacitor 145 that  
18:08:33 24 is also formed between plates 143 and 142?

18:08:39 25 Is that accurate?

18:08:40 1 A. Yes.

18:08:40 2 Q. Is there a capacitor between contacts 12  
18:08:44 3 and 13 on top of that Figure 12A?

18:09:02 4 A. There is a -- Theoretically, there's  
18:09:06 5 always a fringe capacitance which is formed there when  
18:09:10 6 you have opposing ends. Whether it's determinable or  
18:09:11 7 not, I'd have to determine.

18:09:15 8 Q. And would you say the same thing about  
18:09:17 9 the bottom of Figure 12A, that there is a fringe  
18:09:22 10 effect capacitance between bottom edges of 12 and 13?

18:09:31 11 A. Theoretically, yes.

18:09:32 12 Q. What about in Figure 12A?

18:09:37 13 Is that a yes about Figure 12A?

18:09:40 14 A. Oh, I thought we were referring to 12A  
18:09:44 15 throughout the discussion there about wraparound  
18:09:48 16 terminations 12 and 13.

18:09:50 17 Q. Correct.

18:09:50 18 So -- So in Figure 12A, there are two  
18:09:55 19 fringe effect capacitances between ends of contacts 12  
18:10:00 20 and 13: One on top, one on the bottom?

18:10:04 21 A. That is correct.

18:10:04 22 Q. Could you direct me in Figure 12B to a  
18:10:09 23 capacitor on this equivalent circuit diagram which  
18:10:17 24 represents the two fringe effect capacitances as you  
18:10:23 25 said would be formed between contacts 12 and 13 on top

18:10:26 1 and bottom?

18:10:29 2 A. They are not shown, as they are

18:10:31 3 considered negligible compared to the ones which are  
18:10:37 4 shown.

18:10:38 5 That's my interpretation for their  
18:10:40 6 absence from Figure 12B.

18:10:43 7 Q. But otherwise, if you wanted to make a  
18:10:47 8 complete representation of this capacitor, you would  
18:10:51 9 include them on the equivalent --

18:10:55 10 A. I would include them, plus other  
18:10:57 11 additional -- other additional ones not shown, yes.

18:10:58 12 If I were writing my doctorate in  
18:11:01 13 physics, oh, it would be a wonderful, very complex  
18:11:07 14 model.

18:11:07 15 Q. Okay.

18:11:15 16 A. It has gotten warm. They turned off the  
18:11:16 17 air conditioning or something?

18:11:18 18 Q. I guess we're suffering from that  
18:11:22 19 effect.

18:11:22 20 Okay. And what do you base your opinion  
18:11:25 21 about this negligibility of fringe effect between 12  
18:11:32 22 and 13?

18:11:34 23 A. By the apparent -- By the relative  
18:11:39 24 distances.

18:11:40 25 If this is at all meant to be accurate,

18:11:44 1 the distances between the ends of 12 and 13, top and  
18:11:49 2 bottom, is so much greater than that between 141 and  
18:11:54 3 143 and 143 and 142, that in any determinable effect  
18:12:02 4 where I were trying to measure it, they were probably  
18:12:07 5 negligible.

18:12:07 6 Q. But you would be able to determine them  
18:12:10 7 theoretically, even if they're negligible to some  
18:12:13 8 extent by actual measurement?

18:12:15 9 A. I'd be able to put them in a expanded  
18:12:19 10 version of 12B, which included everything.

18:12:23 11 Q. Okay. Okay.

18:12:25 12 A. I don't think I could -- I'm likely to  
18:12:28 13 be able to -- if I measured a real part, detect their  
18:12:34 14 contribution at all.

18:12:36 15 Q. Okay. Okay. So let's turn to Claim 1,  
18:12:40 16 and Claim 1, only --

18:12:42 17 A. Okay.

18:12:43 18 Q. -- without consideration of Claims 2 and  
18:12:47 19 3.

18:12:50 20 Claim 1 is what is called an independent  
18:12:52 21 claim. It stands on its own.

18:12:54 22 A. Okay.

18:12:54 23 Q. Defines its own elements.

18:12:57 24 A. Okay.

18:12:58 25 Q. And if I can direct your attention to

18:13:03 1 Column 13 on the next page --

18:13:06 2 A. Got it.

18:13:07 3 Q. Where on Line 4 --

18:13:12 4 A. My version doesn't have lines.

18:13:14 5 Do I just count down?

18:13:16 6 Q. I think, yes. I guess Line 3, what I'm

18:13:22 7 referring --

18:13:25 8 A. I'll read it.

18:13:25 9 Q. Absolutely.

18:13:26 10 What I'm referring to is this element

18:13:27 11 that starts in the second context being located

18:13:32 12 sufficiently close to the first contact to form --

18:13:38 13 And I want you to underline the word "a

18:13:41 14 first".

18:13:43 15 A. Okay.

18:13:43 16 Q. Or maybe double underline.

18:13:45 17 A. Double underline. Great.

18:13:47 18 Q. The word "a first fringe effect

18:13:49 19 capacitance with the first contact".

18:13:53 20 And my question to you is: How do you

18:13:55 21 determine what is a first fringe effect capacitance in

18:14:00 22 the context of Claim 1?

18:14:22 23 A. Okay. We are -- As I read Claim 1,

18:14:30 24 Claim 1 is talking about conductive contacts or layers

18:14:38 25 on the exterior of this body.

18:14:43 1 Q. Among other things?

18:14:45 2 A. Sure.

18:14:46 3 And it is simply -- I read that as  
18:14:52 4 simply stating that we have some external contacts --

18:14:59 5 And all of the drawings have drawn it in  
18:15:01 6 a wraparound configuration. That's what we call it?

18:15:04 7 So for each pair of wraparound  
18:15:07 8 configurations, there would be two fringe effect  
18:15:11 9 capacitors formed.

18:15:15 10 Q. Do you mean -- By "wraparound," do you  
18:15:18 11 mean it's on four sides of the capacitor?

18:15:21 12 A. If we look at it on a cross section, we  
18:15:25 13 see it as the ends and a top side and a bottom side.

18:15:30 14 Q. Okay. But if we're looking at it on a  
18:15:33 15 three-dimensional capacitor --

18:15:35 16 A. Right.

18:15:35 17 Q. -- would you expect that to be on all  
18:15:38 18 the -- as a wraparound, is that on all four sides,  
18:15:45 19 top -- or actually, five sides, top, bottom, front,  
18:15:51 20 end and maybe right?

18:15:55 21 Is that what you would understand a  
18:15:57 22 wraparound contact to be?

18:15:58 23 A. Yes.

18:15:59 24 Let me restate it.

18:16:01 25 If I had this transmitter device and I

18:16:07 1 formed a wraparound contact around this end, the  
18:16:11 2 primary plate -- the primary surface it would cover  
18:16:16 3 would be this end, but it would overlap on four  
18:16:19 4 additional sides.

18:16:21 5 Q. Okay.

18:16:23 6 A. And, in deed, they are formed by dipping  
18:16:26 7 in a liquid such that you in deed get some wetting or  
18:16:33 8 overlap on four sides and complete coverage of one  
18:16:38 9 side.

18:16:38 10 Q. I see.

18:16:39 11 Okay. And you would expect the contact  
18:16:43 12 on the other side to be formed by dipping, as well?

18:16:43 13 A. Yes.

18:16:48 14 Q. As a wraparound contact?

18:16:50 15 A. Yes.

18:16:50 16 Q. And so let's say that both of them are  
18:16:52 17 formed that way.

18:16:53 18 So if we were to count the sides on  
18:16:58 19 which the fringe effect or over which fringe effect  
18:17:03 20 could be formed, would you agree with me, then, it  
18:17:05 21 would be formed on the top side between the edges of  
18:17:08 22 the contact, on the bottom side between the edges, on  
18:17:11 23 the front side facing, you and on the distant side  
18:17:17 24 away from you?

18:17:19 25 A. They would only be formed on the top and



18:17:26 1 bottom sides -- we talked about this orientation --  
18:17:31 2 because we need an internal -- we need a pure  
18:17:42 3 dielectric layer between them.  
18:17:45 4 This exists most commonly in the top and  
18:17:48 5 the bottom.  
18:17:50 6 If I had no internal electrodes come out  
18:17:54 7 to the sides, they would also exist there.  
18:17:57 8 Q. Okay.  
18:17:59 9 A. Given that --  
18:18:00 10 Q. Given that assumption that --  
18:18:02 11 A. That description.  
18:18:03 12 Q. That all the four sides are -- the  
18:18:07 13 layers on the four sides of the --  
18:18:10 14 A. Are all metal free.  
18:18:14 15 Q. All metal free, meaning dielectric or an  
18:18:19 16 insulator --  
18:18:21 17 A. Yes.  
18:18:21 18 Q. -- ceramic.  
18:18:22 19 Then you would expect fringe effect  
18:18:24 20 between wraparound contacts to form on those four  
18:18:30 21 sides?  
18:18:30 22 A. Yes.  
18:18:30 23 Q. And with that understanding, would  
18:18:34 24 you -- how would you be able to tell me out of those  
18:18:39 25 four which one is what Claim 1 refers to with the word

18:18:45 1 you've circled as a first fringe effect?

18:18:58 2 A. An extremely typical way to cross

18:19:02 3 section capacitors, one which would be understood by

18:19:05 4 one of ordinary skill in the art, would be to cross

18:19:11 5 section in this orientation such that I grind through

18:19:15 6 this way, and I produce surfaces looking like this.

18:19:22 7 Q. And I'm sorry to interrupt you.

18:19:25 8 Just to make the record clear, by this,

18:19:29 9 when we use a figure in the pad -- and I believe it's

18:19:36 10 a representation of Figure 9 made there -- I think

18:19:39 11 they're identical?

18:19:40 12 A. They're very close, yes. Figure 9A is

18:19:43 13 good enough.

18:19:44 14 Q. And when you were saying that you would

18:19:45 15 do it in a cross section doing it this way, how would

18:19:49 16 you define that?

18:19:49 17 Is that longitudinal?

18:19:53 18 How would one of ordinary skill call

18:19:55 19 that cross section?

18:19:56 20 What's the technical term so the record

18:19:59 21 is accurate?

18:19:59 22 A. Okay. It is in a direction

18:20:08 23 perpendicular to the electrode plates and parallel to

18:20:19 24 the termination edges, the major termination edge.

18:20:24 25 And that's this long distance as opposed

18:20:27 1 to this short distance.

18:20:28 2 Q. Okay. And if you can continue with your  
18:20:32 3 answer.

18:20:32 4 A. Sure.

18:20:35 5 Those being what we have here by --

18:20:42 6 Because we cross sectioned it at that  
18:20:46 7 angle, the discussion has only been about the fringe  
18:20:51 8 effect between these wraparound faces.

18:20:57 9 And first and second, I would say top  
18:20:59 10 and bottom or whatever order they happen to be  
18:21:03 11 discussed in, and I consider it arbitrary.

18:21:08 12 Q. So you can call the top one the first  
18:21:11 13 and I can call the bottom one the first?

18:21:13 14 A. Sure.

18:21:13 15 Q. Is that the bottom line?

18:21:16 16 No pun intended.

18:21:18 17 A. Some of us work from the top down.

18:21:23 18 Q. Okay. And if you've done -- And if you  
18:21:28 19 were to do a cross section in the other direction and  
18:21:33 20 then see on the other two sides of the wraparound  
18:21:36 21 context, you will have two additional fringe effects.

18:21:42 22 And out of those four, how would you be  
18:21:48 23 able to select a first one out of four?

18:21:54 24 A. It would relate to your discussion in  
18:22:01 25 the construction of these devices, and to the

18:22:06 1 schematic sketches that we talk about.

18:22:09 2 I believe the numbering system always

18:22:14 3 goes increasing in number from top to bottom of

18:22:20 4 layering of elements in a complex device such as 9A,

18:22:26 5 such as 11A.

18:22:29 6 And I'd be consistent by saying he

18:22:31 7 probably means the first -- to be the first one

18:22:35 8 encountered on the top and the second one to be the

18:22:38 9 second one encountered on the bottom.

18:22:40 10 Q. Does Claim 1 require a second fringe

18:22:44 11 effect if you could take a look at the --

18:22:46 12 A. May I look at --

18:22:47 13 Q. Absolutely.

18:22:48 14 A. -- Claim 1?

18:23:13 15 I do not see Claim 1 as discussing a

18:23:16 16 second fringe effect capacitance.

18:23:18 17 Q. So based on that absence of requirement

18:23:22 18 for the second fringe effect, do you agree with me

18:23:26 19 that the Claim 1 does not require a second fringe

18:23:30 20 effect?

18:23:33 21 A. The -- My interpretation of -- based

18:23:38 22 upon your statements as to the independence of claims

18:23:42 23 and the dependence of claims and assuming that all of

18:23:46 24 the discussion before we get to claims does not apply,

18:23:52 25 it may well be.

18:23:53 1 I were -- If I were more fully  
18:23:56 2 instructed by you and had we time, you would say, Gary  
18:24:00 3 you must consider all of these other figures in the  
18:24:04 4 discussion when you consider Claim 1.

18:24:09 5 Q. So with the caveat that you may  
18:24:17 6 discover -- that you may change your opinion upon  
18:24:21 7 further review of the patent, does the literal  
18:24:26 8 language of Claim 1 require by words a second fringe  
18:24:37 9 effect capacitance?

18:25:12 10 A. I do not -- At first reading, I do not  
18:25:15 11 believe it does.

18:25:17 12 Q. How many times have you read Claim 1  
18:25:19 13 before today?

18:25:24 14 A. Four or five.

18:25:29 15 I learn something new each time I read  
18:25:31 16 the bible, too.

18:25:34 17 Q. But the four or five times you've  
18:25:37 18 reviewed Claim 1 was sufficient for you to submit a  
18:25:42 19 declaration to the Court expressing your opinions?

18:25:45 20 A. On the particular meaning of the terms I  
18:25:49 21 expressed opinions on as one of -- as relating to how  
18:25:54 22 one of ordinary skill in the arts would interpret them  
18:25:58 23 apply them, yes.

18:25:58 24 Q. Okay. And based on the fact that I  
18:26:05 25 think you've agreed with me with your caveats --

18:26:09 1 A. Caveats.

18:26:09 2 Q. -- that Claim 1 does not require a

18:26:11 3 second fringe effect.

18:26:12 4 A. Right.

18:26:12 5 Q. Let's say we'll look back at Figure 12A?

18:26:20 6 A. Figure 12A.

18:26:21 7 Okay. Is there a context of Figure 12A?

18:26:26 8 Q. I just want to ask you a hypothetical

18:26:28 9 question.

18:26:29 10 A. Okay.

18:26:29 11 Q. Based on your understanding --

18:26:32 12 A. But I'm just reading --

18:26:33 13 Q. Absolutely.

18:26:34 14 A. A fourth embodiment of an integrated

18:26:37 15 wide band capacitor.

18:26:38 16 Okay.

18:26:44 17 Q. So in Figure 12A, between contacts 12

18:26:48 18 and 13, at least in cross section, you see that there

18:26:56 19 would be two theoretical fringe effect capacitances

18:27:00 20 formed on top and the bottom of the dielectric body?

18:27:03 21 A. Yes.

18:27:03 22 Q. Is that --

18:27:04 23 A. Yes.

18:27:04 24 Q. And if I wanted to --

18:27:10 25 And Claim 1, you've agreed with me, only

18:27:12 1 requires a first fringe effect capacitance?

18:27:16 2 A. (Witness nods).

18:27:17 3 Q. And based on that, if I were to

18:27:18 4 eliminate or make a first fringe effect capacitance,

18:27:27 5 negligible or small, and only utilize a second fringe

18:27:32 6 effect capacitance, only have that theoretically,

18:27:36 7 would you say my capacitor falls within the scope of

18:27:41 8 Claim 1 if it does not have a required first fringe

18:27:47 9 effect capacitance but has a second not required one?

18:27:51 10 A. Let me look at the Claims

18:27:53 11 Construction --

18:27:53 12 Q. Absolutely.

18:27:54 13 A. -- in that Exhibit 6.

18:27:59 14 And again, I think I'm going to the very

18:28:02 15 end.

18:28:15 16 I believe the key here is determinable

18:28:22 17 capacitance. I believed if you eliminated the first

18:28:30 18 of two fringe effect capacitors, it became

18:28:36 19 immeasurable and indeterminable, and therefore, was

18:28:38 20 not defined in these terms as a fringe effect

18:28:42 21 capacitor.

18:28:43 22 Then the second, which we assume remains

18:28:46 23 determinable, could then be considered the first

18:28:49 24 determinable fringe effect capacitor.

18:28:58 25 Q. And by "determinable", are you limiting

18:29:09 1 that term as used by the Court in the Claim

18:29:13 2 Construction to measurable?

18:29:22 3 A. Not in terms of having to be directly

18:29:26 4 measurable.

18:29:27 5 But it could be determined through

18:29:33 6 subtraction of -- by measuring properties of the

18:29:39 7 array, itself, with or without that particular

18:29:43 8 capacitor. And then we'd simply subtract to find out

18:29:47 9 what was the specific component contributed by that

18:29:52 10 fringe array capacitor.

18:29:54 11 Q. And what is your understanding that

18:29:56 12 Claim 1 claims?

18:29:58 13 Does it claim an array of capacitors?

18:30:01 14 A. May I read Claim 1 again, please?

18:30:04 15 Q. Absolutely, yes.

18:30:06 16 And what I would like you to do upon

18:30:09 17 that review of Claim 1, if you could draw me a cross

18:30:13 18 section of a capacitor, having all the elements, all

18:30:17 19 the required elements of Claim 1.

18:30:21 20 A. Okay.

18:30:21 21 Q. And I will -- I hope I have a piece of

18:30:25 22 paper that I can give you to do that.

18:30:30 23 MR. SLONIM: And we will label that Exhibit --

18:30:44 24 Exhibit 8.

25



18:30:44 1 (Whereupon Exhibit 8 was marked for  
18:30:44 2 identification)  
18:30:49 3 THE WITNESS: Might I have a spare piece of  
18:30:52 4 paper to first draw on and get to where I want to go?  
18:30:52 5 BY MR. SLONIM:  
18:30:59 6 Q. You mean a scrap paper?  
18:31:00 7 A. Yeah.  
18:31:01 8 Q. Absolutely.  
18:31:02 9 A. Great.  
18:34:05 10 Okay.  
18:34:06 11 Q. Is this the only capacitor that -- the  
18:34:10 12 only configuration of a capacitor that is required by  
18:34:13 13 Claim 1?  
18:34:17 14 A. Those are the only elements, if I can  
18:34:23 15 call the various A's within Claim 1 as being elements  
18:34:29 16 which are called out.  
18:34:31 17 Q. Okay. And would you identify the  
18:34:33 18 elements that you've drawn on Exhibit 8 as a  
18:34:36 19 representation of a capacitor of Claim 1?  
18:34:40 20 A. A representation of a -- as a schematic.  
18:34:44 21 Okay.  
18:34:44 22 Q. Yes.  
18:35:42 23 And would you please explain what you  
18:35:46 24 mean by Elements 1 and 2 in Exhibit 8?  
18:35:50 25 A. By using the Arabic numbers 1 and 2, I

18:35:53 1 mean internally disposed conductive plates, 1 being a  
18:36:02 2 conductive first plate, 2 being a conductive second  
18:36:07 3 plate, of which 1 and 2 are sufficiently parallel to  
18:36:13 4 form a capacitor between them.

18:36:17 5 Q. Does Claim 1 require a parallel plate  
18:36:21 6 capacitor between plates 1 and 2?

18:36:23 7 A. No, it forms -- it requires forming a  
18:36:26 8 capacitor, which means that the plates cannot touch or  
18:36:33 9 they will short.

18:36:35 10 That's why I said sufficiently parallel.  
18:36:38 11 The sufficient means that within the extent of  
18:36:43 12 whatever size of body we have, they remain far enough  
18:36:47 13 apart that they don't touch nor are they likely to  
18:36:51 14 short.

18:36:54 15 Q. And would the parallel plate capacitance  
18:36:58 16 be the only type of capacitance that could be formed  
18:37:02 17 between plates 1 and 2 in Claim 1, as required by  
18:37:07 18 Claim 1?

18:37:11 19 A. If these plates extend underneath the  
18:37:17 20 wraparound area on the top or bottom of opposite  
18:37:22 21 polarity, there would be also a small contribution to  
18:37:26 22 capacitance there.

18:37:27 23 Q. And by small area on top and bottom, are  
18:37:31 24 you referring to the contact -- second contact and a  
18:37:37 25 parallel plate capacitance that would be formed

18:37:39 1 between, let's say, plate 1 and a top portion of a  
18:37:46 2 second contact if plate 1 were extended further in the  
18:37:51 3 direction of the second contact?

18:37:53 4 A. Right.

18:37:54 5 This area, I could cross hatch here the  
18:37:57 6 amount of overlap.

18:37:59 7 Q. Would you use the red pen to show that  
18:38:09 8 second parallel plate --

18:38:11 9 A. If I had plate 1 extend to this point,  
18:38:14 10 then I would be interacting with this material on  
18:38:19 11 the -- this also top of the material on the capacitor.

18:38:23 12 Q. Is this capacitance --

18:38:26 13 Let's label that capacitance by  
18:38:28 14 extending the plate 1 and the overlap of -- give it a  
18:38:32 15 number or a letter.

18:38:35 16 Maybe the best way to do it, could you  
18:38:37 17 put a schematic capacitor kind of designation and  
18:38:40 18 label that as capacitor in between them?

18:38:45 19 A. I could state that this and this  
18:38:49 20 extended to form a capacitance.

18:38:53 21 Q. Okay. Could you label that  
18:38:55 22 capacitance -- "Capacitance A"?

18:38:57 23 A. Okay.

18:38:58 24 Q. C --

18:39:02 25 A. C subA.

18:39:03 1 Q. C subA.

18:39:05 2 Okay. And is C subA capacitance

18:39:08 3 required by Claim 1?

18:39:11 4 A. I do not believe it is, on my reading of  
18:39:16 5 Claim 1, out of context.

18:39:19 6 Q. I agree with you.

18:39:22 7 And but does Claim 1 require only  
18:39:25 8 parallel plate capacitance to be formed between plates  
18:39:29 9 1 and 2?

18:39:35 10 A. It requires a capacitance between 1 and  
18:39:42 11 2, and it requires at least one fringe effect surface  
18:39:46 12 capacitance.

18:39:47 13 Q. Correct.

18:39:48 14 Is there any other arrangement of plates  
18:39:50 15 1 and 2 that you know of that would also satisfy --  
18:39:56 16 that would not have a parallel plate capacitance  
18:40:02 17 between them that would also satisfy Claim 1?

18:40:05 18 If you could draw it maybe on the  
18:40:08 19 figure -- on a separate piece of paper.

18:40:10 20 A. You're asking me to --

18:40:13 21 Q. Give me another arrangement of plates if  
18:40:16 22 Claim 1 would cover another arrangement of internal  
18:40:20 23 plates 1 and 2 in your expert opinion.

18:40:25 24 A. I'd certainly need some time to work  
18:40:29 25 that out. I cannot say that in a short period of,

18:40:34 1 say, an hour or less in this circumstance at the end  
18:40:38 2 of the day that I could do sufficient justice.

18:40:43 3 Q. So is it the expert opinion that with  
18:40:46 4 all your at least 24 years of service as one of  
18:40:50 5 ordinary skill in the art, you cannot -- and being  
18:40:54 6 offered as an expert in this field, you cannot,  
18:40:59 7 sitting here today, give the Court a schematic of  
18:41:04 8 another capacitor which would fall within claims of  
18:41:08 9 Claim 1, within the scope of Claim 1, which would not  
18:41:13 10 have a parallel plate capacitance between plate --  
18:41:17 11 internal plates 1 and 2 inside the dielectric body?

18:41:21 12 Is that your testimony to the Court  
18:41:23 13 today?

18:41:25 14 MR. SCHATZ: Objection.

18:41:25 15 THE WITNESS: I'll take some time --

18:41:27 16 MR. SCHATZ: Objection. Argumentative.

18:41:30 17 BY MR. SLONIM:

18:41:30 18 Q. You may answer.

18:41:31 19 A. Let me take some time for reflection.

18:42:23 20 Let me restate -- I believe I heard you  
18:42:25 21 use the words "parallel plates", but I'm not sure.

18:42:31 22 So let me be firm and see whether you  
18:42:33 23 had that as part of your restriction.

18:42:40 24 Q. Are you saying you don't remember the  
18:42:41 25 question I asked?

18:42:43 1 A. As far as your specific words, whether  
18:42:47 2 they included a discussion of parallel plates or not,  
18:42:52 3 I'm unclear as to the precise wording of your  
18:42:54 4 question.

18:42:55 5 Q. The precise wording of my question now  
18:42:59 6 is --

18:42:59 7 And I would like you to take a sip  
18:43:01 8 before you start listening to me so there's no  
18:43:04 9 distraction and we don't have to waste time repeating  
18:43:07 10 questions.

18:43:08 11 A. Okay.

18:43:08 12 Q. Are you now ready?

18:43:09 13 A. I am now ready.

18:43:10 14 Q. Perfect.

18:43:12 15 Does Claim 1 of 356 patent require that  
18:43:19 16 the capacitance between internal plates 1 and 2, or as  
18:43:26 17 they're called in the Claim 1, first and second  
18:43:30 18 plates, be only parallel plate capacitor that would be  
18:43:37 19 formed between plates 1 and 2?

18:43:41 20 A. No, it does not.

18:43:42 21 Q. Based upon that, could you draw me  
18:43:48 22 another arrangement of internal plates 1 and 2 inside  
18:43:53 23 a dielectric body that would not have a parallel plate  
18:43:57 24 capacitance between them, but would still fall within  
18:44:02 25 the scope of Claim 1?

18:44:11 1 A. Again, let me play a minute.

18:44:15 2 This involves a certain amount of

18:44:17 3 drawing skills.

18:44:18 4 Q. Do you possess them as one of ordinary

18:44:21 5 skill in the art?

18:44:22 6 MR. SCHATZ: Are you asking whether the

18:44:23 7 witness has drawing skills?

18:44:26 8 MR. SLONIM: I think the witness has referred

18:44:28 9 to drawing skills, and he's being offered as one of

18:44:31 10 ordinary skilled in the art.

18:44:33 11 And I would like to know whether the

18:44:35 12 drawing skills are part of the base that the one of

18:44:39 13 ordinary skill in the art possesses in the witness'

18:44:43 14 expert opinion.

18:44:45 15 THE WITNESS: Not anymore.

18:44:46 16 There are CAD/CAM programs and programs

18:44:49 17 which do everything for you. I think those skills

18:44:54 18 are --

18:44:54 19 BY MR. SLONIM:

18:44:55 20 Q. Disappearing?

18:44:56 21 A. Yes.

18:44:56 22 Q. I tend to agree with you.

18:46:00 23 A. Okay. I submit a --

18:46:06 24 Q. May I take that piece of paper for a

18:46:07 25 second just to place the exhibit number so we're sure

18:46:11 1 what we're talking about?

18:46:13 2 I placed the marker "Exhibit 9."

18:46:13 3 (Whereupon Exhibit 9 was marked for

18:46:13 4 identification)

18:46:13 5 BY MR. SLONIM:

18:46:15 6 Q. And would you please explain --

18:46:20 7 A. This is a hypothetical capacitor as

18:46:31 8 contrast to a usable or manufacturable capacitor,

18:46:39 9 which I see two internal plates not being parallel,

18:46:49 10 but not meeting within the confines of the

18:46:55 11 substantially monolithic body.

18:46:58 12 And, again, I've also significantly

18:47:01 13 varied the spacing between first and second contacts

18:47:05 14 as on the top and on the bottom of the body as both

18:47:11 15 being possible.

18:47:17 16 Q. And is it your opinion that the

18:47:20 17 capacitor that you've schematically drawn on Exhibit 9

18:47:24 18 would be within the Claim 1, within the scope of

18:47:32 19 Claim 1?

18:47:33 20 A. Yes.

18:47:33 21 Q. And does Claim 1 require the capacitor

18:47:35 22 to be usable?

18:47:39 23 Is there a word "usable" in Claim 1?

18:47:41 24 A. No.

18:47:41 25 Q. And does it require a capacitor of



18:47:44 1 Claim 1 to be manufacturable?

18:47:46 2 Is there a word "manufacturable" in

18:47:49 3 Claim 1?

18:47:49 4 A. No, there's not. It's just how one of  
18:47:52 5 ordinary skill in the art would understand capacitor  
18:47:56 6 designs to be.

18:47:58 7 Q. So you would like to insert the word  
18:48:00 8 "usable" or "manufacturable" into Claim 1?

18:48:03 9 A. Only as --

18:48:04 10 MR. SCHATZ: Objection. Argumentative.

18:48:04 11 BY MR. SLONIM:

18:48:06 12 Q. You may answer.

18:48:07 13 Only as --

18:48:08 14 A. -- relates to one of ordinary skill in  
18:48:11 15 the arts would understand it to be.

18:48:13 16 Q. But if the literal language of Claim 1  
18:48:17 17 does not require a usable capacitor, what basis do you  
18:48:23 18 have to insert that word into the claim?

18:48:29 19 A. Through discussions with capacitor  
18:48:34 20 designers and manufacturers. They assume certain  
18:48:41 21 things, like they want to design a capacitor that they  
18:48:46 22 can manufacture and sell, sell for a profit.

18:48:49 23 Q. Does Claim 1 require the capacitor of  
18:48:53 24 Claim 1 to make a profit?

18:48:55 25 A. No.

18:48:57 1 I'm just talking about how one of  
18:48:59 2 ordinary skill in the art would interpret it, if asked  
18:49:04 3 to design a capacitor meeting that.  
18:49:04 4 (Whereupon Exhibit 10 was marked for  
18:49:04 5 identification)  
18:49:07 6 BY MR. SLONIM:  
18:49:07 7 Q. Okay. Let me place an Exhibit 10 in  
18:49:11 8 front of you, which is a schematic representation of  
18:49:15 9 another capacitor. And what I've labeled on it is  
18:49:20 10 internal plates 1 and 2.  
18:49:24 11 The 1 and 2 labeled internal plates  
18:49:27 12 inside a dielectric body, and a first and a second are  
18:49:32 13 contacts that in Claim 1 are called first and second  
18:49:37 14 contact.  
18:49:38 15 And I would like you to tell me whether  
18:49:40 16 a capacitor schematically represented by Exhibit 10,  
18:49:44 17 you would believe that would fall within the scope of  
18:49:47 18 Claim 1.  
18:49:50 19 MR. SCHATZ: Take your time.  
18:49:52 20 THE WITNESS: Sure.  
18:51:12 21 THE WITNESS: As one of ordinary skill in the  
18:51:16 22 art where we talk about the internal plates forming a  
18:51:25 23 capacitor, I would understand that as being a  
18:51:31 24 determinable capacitor.  
18:51:35 25 If I can't measure it in a practical

18:51:40 1 sense, it doesn't exist, it doesn't form a capacitor.

18:51:44 2 And so I would measure it.

18:51:47 3 If it forms a determinable or measurable

18:51:55 4 capacitance between 1 and 2, I believe it -- and

18:52:06 5 assuming that we have likewise a determinable fringe

18:52:12 6 effect capacitor on top and bottom, given those two, I

18:52:16 7 believe they would also fit.

18:52:19 8 MR. SCHATZ: Given that, I'd like to take a

18:52:21 9 break.

18:52:21 10 MR. SLONIM: Okay. Absolutely.

18:52:23 11 THE VIDEOGRAPHER: Going off the record.

18:52:24 12 The time is 18:51 hours.

19:01:08 13 (Whereupon a recess was taken)

19:01:10 14 THE VIDEOGRAPHER: Back on the record.

19:01:15 15 The time is 19:00 hours.

19:01:18 16 BY MR. SLONIM:

19:01:19 17 Q. Referring back to the capacitor

19:01:21 18 schematically represented in Exhibit 10, what is the

19:01:25 19 capacitance, what is the name of that capacitance

19:01:28 20 between internal plates 1 and 2?

19:01:33 21 A. I would consider that to be an internal

19:01:39 22 fringe effect capacitance that is formed.

19:01:41 23 Q. And is it any difference than the fringe

19:01:45 24 capacitance formed between contacts -- between ends of

19:01:47 25 the contacts first and second on the outside?

19:01:53 1 A. Being with -- Entirely disposed within  
19:01:57 2 the body, the dielectric on all sides is whatever the  
19:02:03 3 dielectric of the body is.

19:02:05 4 On the surfaces, you have -- below the  
19:02:09 5 surface or above the surface, your orientation -- you  
19:02:13 6 have one dielectric, the ceramic if we're talking a  
19:02:17 7 ceramic capacitor here, and the second I'm assuming is  
19:02:20 8 an air environment.

19:02:22 9 So you have two different dielectrics.

19:02:25 10 Q. I see.

19:02:25 11 So you're saying that in the air, there  
19:02:28 12 would not be a charge or a capacitance?

19:02:30 13 The air would not --

19:02:31 14 A. Oh, there would. It would just have a  
19:02:33 15 different dielectric constant.

19:02:39 16 Q. Okay. I appreciate that.

19:02:42 17 If I can just direct your attention to  
19:02:44 18 Exhibit 2, your declaration.

19:02:47 19 A. Okay. Please do.

19:02:48 20 Q. And I want to direct your attention to  
19:02:52 21 the bottom of what is labeled as "declaration of  
19:02:56 22 Expert Witness - 10".

19:02:59 23 A. Thank you. We've gone from 2 to 10 now.

19:03:03 24 Q. We're making progress.

19:03:04 25 A. Yes. Okay.

19:03:06 1 Q. And the sentence that I would like to  
19:03:10 2 read to you, and I would like you to follow my  
19:03:14 3 reading, begins -- it's on the second line from the  
19:03:16 4 bottom on Page 10 that starts with the word "Second"  
19:03:20 5 underlined.

19:03:21 6 A. Right.

19:03:22 7 Q. And that declaration says: "Second,"  
19:03:26 8 underlined, comma, "I consider the six sides in  
19:03:30 9 standard monolithic dielectric capacitors to be  
19:03:34 10 major," in quotes," in that they contribute the very  
19:03:39 11 great majority of capacitance to the capacitor array  
19:03:43 12 and were designed to do so."

19:03:46 13 Did I read that sentence from your  
19:03:48 14 declaration accurately?

19:03:50 15 A. I believe so.

19:03:51 16 Q. Thank you.

19:03:52 17 Could you explain to me how you measure  
19:03:57 18 or what do you mean by majority of capacitance as used  
19:04:02 19 in that sentence?

19:04:13 20 A. Standard monolithic dielectric  
19:04:18 21 capacitors are designed to have parallel plates within  
19:04:25 22 them rather than grossly inparallel plates.

19:04:32 23 And we know from the formula you have  
19:04:36 24 submitted in Exhibit 7 that the capacitance is  
19:04:47 25 proportional to the area of the plates.

19:04:51 1 So where we have plates with large area,  
19:04:58 2 we will have a large capacitance.

19:05:04 3 That if I have -- back to our brick --  
19:05:08 4 if I have a chip or brick configuration which is  
19:05:14 5 standard in monolithic capacitors, as opposed to feed  
19:05:20 6 through capacitors, which are not monolithic --

19:05:25 7 Q. If I may interrupt you.

19:05:25 8 A. Yes.

19:05:27 9 Q. I just don't want to prolong this.

19:05:31 10 One question that arose from what you  
19:05:33 11 said was what did you --

19:05:37 12 In that statement, did you purport to  
19:05:40 13 address the requirements of Claim 1?

19:05:45 14 In the statement I read from your  
19:05:47 15 declaration, did you purport to address the  
19:05:49 16 requirements of capacitor of Claim 1, or some other  
19:05:54 17 capacitor that requires parallel plate capacitance?

19:06:01 18 Because my concern with that is I think  
19:06:03 19 we've established that Claim 1 does not require  
19:06:06 20 parallel plate capacitance between internal plates.

19:06:10 21 So what I'm trying to understand, what  
19:06:12 22 is the relevance of that statement in context of  
19:06:16 23 Claim 1 which does not require parallel plate  
19:06:21 24 capacitance?

19:06:22 25 A. The context is one of experience gained

19:06:28 1 of one who has ordinary skill of the arts in that I  
19:06:38 2 know of no instances in some 23 or -4 years of  
19:06:43 3 service, how many it is, where people have  
19:06:48 4 manufactured and sold for a profit as opposed for  
19:06:54 5 scientific experiments nonparallel plate capacitors.

19:07:01 6 So I believe one would be reading the  
19:07:04 7 claims of the 356 patent in those terms.

19:07:12 8 That is how you would apply it. You  
19:07:14 9 would have that set of explicit -- of implicit  
19:07:21 10 understandings.

19:07:22 11 MR. SCHATZ: I'll just make a note for the  
19:07:24 12 record that we are beyond the seven hours, and I'll  
19:07:26 13 just allow Counsel another question or two and then  
19:07:30 14 we'd like to conclude the deposition.

19:07:34 15 MR. SLONIM: Subject to the inspection of  
19:07:36 16 documents, which due to Dr. Ewell's travel and other  
19:07:43 17 circumstances beyond our control, was not arranged  
19:07:46 18 prior to this deposition, I think we would agree with  
19:07:51 19 you that the first day of that deposition would be at  
19:07:57 20 an end with the one or two follow-up questions.

19:08:00 21 But upon -- we reserve the right that as  
19:08:04 22 a testifying expert who has --

19:08:08 23 THE WITNESS: Proposed or purported expert, I  
19:08:11 24 think was your adjective there.

19:08:11 25 / / /

19:08:11 1 BY MR. SLONIM:

19:08:15 2 Q. I agree with that.

19:08:16 3 A. Okay.

19:08:16 4 MR. SLONIM: As an expert submitted to the  
19:08:18 5 Court as a testifying purported expert who has  
19:08:23 6 reviewed and used in preparation a great number of  
19:08:31 7 documents that were not produced to us nor listed  
19:08:33 8 specifically by name in the declaration, we reserve  
19:08:39 9 the right upon obtaining access to those documents,  
19:08:43 10 including the notes of conversations with counsel and  
19:08:47 11 drafts of the declaration and the e-mail traffic and  
19:08:50 12 the Google search and the marked-up copies of the  
19:08:54 13 patents and everything else that Dr. Ewell did in  
19:09:00 14 preparation to resume this deposition, to examine him  
19:09:04 15 based upon those documents.

19:09:07 16 Q. And with that said, my next question is:

19:09:12 17 If we were talking about a capacitor of  
19:09:18 18 Exhibit 10, what would you define as the majority of  
19:09:21 19 capacitance as you've used that term in the sentence I  
19:09:28 20 read from your declaration?

19:09:33 21 A. If this capacitor -- and I'm assuming I  
19:09:40 22 understand the design from a rough sketch --

19:09:46 23 Q. Do you have any question about that  
19:09:48 24 rough sketch --

19:09:50 25 A. I would have to measure it to make sure



19:09:52 1 it has any determinable capacitance before I could  
19:09:56 2 talk about the great majority of its capacitance.

19:10:01 3 Q. Would you be able to determine the  
19:10:03 4 majority of that capacitance by a theoretical formula  
19:10:08 5 or approximate that majority by a theoretical formula?

19:10:12 6 A. No, but by some very specific  
19:10:19 7 measurements.

19:10:19 8 Q. And what would mean a great majority of  
19:10:22 9 capacitance as compared to just simply majority of  
19:10:27 10 capacitance?

19:10:31 11 A. I consider a majority of capacitance to  
19:10:37 12 be 50.1 percent or more of the total.

19:10:42 13 Q. And what is the great majority of  
19:10:46 14 capacitance?

19:10:46 15 A. I believe the great majority to be some  
19:10:49 16 number -- some percentage considerably beyond that.

19:10:51 17 Q. And what is that percentage?

19:10:54 18 A. I've not thought through what it would  
19:10:57 19 need to be, very --

19:11:02 20 Let's see. My terminology is "very  
19:11:05 21 great majority" as to simply "great".

19:11:08 22 MR. SCHATZ: And with that, I would just ask  
19:11:10 23 Counsel to -- if he's through with the deposition.

19:11:14 24 MR. SLONIM: Subject to our reservation of  
19:11:16 25 rights to examine Dr. Ewell about the documents that

19:11:19 1 were not shown to us prior to the deposition, I would  
19:11:22 2 agree with you.

19:11:24 3 Thank you, Dr. Ewell. I appreciate your  
19:11:27 4 time.

19:11:27 5 THE WITNESS: Thank you all.

19:11:29 6 MR. SLONIM: Thank you, guys. Thank you very  
19:11:31 7 much. It was a pleasure.

19:11:33 8 THE VIDEOGRAPHER: This concludes Volume I in  
19:11:35 9 the deposition of Gary Ewell.

19:11:36 10 The number of tapes used was four. The  
19:11:39 11 original video tapes will be retained by Merrill Legal  
19:11:43 12 Solutions Los Angeles.

19:11:44 13 Going off the record.

19:11:44 14 The time is 19:11 hours.

15 (Whereupon the deposition was concluded  
16 at 7:11 p.m.)

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PENALTY OF PERJURY

I hereby declare I am the deponent in the within matter; that I have read the foregoing proceeding and know the contents thereof and I declare that the same is true of my knowledge except as to the matters which are therein stated upon my information or belief, and as to those matters I believe it to be true.

I declare under penalty of perjury that the foregoing is true and correct.

Executed on the \_\_\_\_\_ day of \_\_\_\_\_, 2008, at \_\_\_\_\_, California.

\_\_\_\_\_  
GARY JAMES EWELL

1 STATE OF CALIFORNIA )  
2 )  
3 COUNTY OF LOS ANGELES ) ss.  
4

5 I, SUSAN LYNN POBOR, Certified Shorthand  
6 Reporter No. 5132 for the State of California, do  
7 hereby certify:

8 That prior to being examined, the witness  
9 named in the foregoing deposition, was duly sworn to  
10 testify the truth, the whole truth, and nothing but  
11 the truth;

12 That said deposition was taken down by me in  
13 shorthand at the time and place therein named and  
14 thereafter reduced by me to typewritten form and that  
15 the same is a true, correct, and complete transcript  
16 of said proceedings.

17 Before completion of the deposition, review of  
18 the transcript [X] was [ ] was not requested. If  
19 requested, any changes made by the deponent (and  
20 provided to the reporter) during the period allowed  
21 are appended hereto.

22 I further certify that I am not interested in  
23 the outcome of the action.

24 Witness my hand this 4 day of  
25 August, 2008.

  
Susan Lynn Pobor, CSR No. 5132

1 ERRATA SHEET

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